PCT Applicant's Guide - Volume II - National Chap LOOT Rec'd PCTIPTO 1 3 MAR 2007

| FORM PTO-1390 U.S. DEPA (REV 1-98) | | MENT OF COMMENCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER 172A 3244 PCT U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) 10/088420 | | | | | | | |
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| | TRANSMITTAL LETTER T | | | | | | | | | |
| | DESIGNATED/ELECTE CONCERNING A FILING | | | | | | | | | |
| INTERNA | ATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | |
| | PCT/JP01/06161 | 17 July 2001 | 17 July 2000 | | | | | | | |
| TITLE OF INVENTION PIEZO OSCILLATORS | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US TOSHIKAZU UCHIYAMA, HITOSHI TAKANASHI, MASAYUKI ISHIKAWA, KOJI HOSAKA, TOMOHIRO TAMURA, YUUICHI OINUMA, TAKEHIKO ADACHI and SHOJI IZUMIYA | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. 🖾 | 1. | | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT | submission of items concerning a filing under 35 U. | S.C. 371. | | | | | | | |
| 3. 🖾 | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | | | | | | | | |
| 4. | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | | | | | | | | |
| 5. 🔯 | | | | | | | | | | |
| ł | a. | | | | | | | | | |
| 1 | b. As been transmitted by the International Bureau. | | | | | | | | | |
| 6. 🛛 | c is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. ⊠ 7. ⊠ | A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| /. 🖂 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are transmitted herewith (required only if not transmitted by the International Bureau). | | | | | | | | | |
| | b. have been transmitted by the International Bureau. | | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | | | |
| 8. 🗆 | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. 🛛 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. 🔲 | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| 11. | Applicant claims small entity status. | | | | | | | | | |
| Items 12 | . to 17. below concern document(s) or in | formation included: | | | | | | | | |
| 12. 🛛 | An Information Disclosure Statement un | | | | | | | | | |
| 13. 🛛 | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | | | | | |
| 14. | A FIRST preliminary amendment. | | | | | | | | | |
| | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | | |
| 15. 🔲 | | | | | | | | | | |
| 16. | A change of power of attorney and/or address letter. | | | | | | | | | |
| 17. | Other items or information: | | | | | | | | | |
| a. Copy of Form PCT/IB/308 (Notice re Application to the Designated offices) | | | | | | | | | | |
| 1 | b. Twenty (20) sheets of drawingsc. Copy of Form PCT/ISA/210 (Int | ternational Search Report) | | | | | | | | |
| | | ification of the Recording of a Change) | | | | | | | | |

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

| INTERACTION NONDERS TAXA 3244 PCT 18. | U.S. APPLICATION NO. (if known, see 37 C.F.R. 1 | INTERNATIONAL APPLICATION NUMBER | | | | ATTORNEY'S | | | | | |
|--|---|----------------------------------|----------|--------------|--------|-----------------------------|--|--|--|--|--|
| 18. The following fees are submitted: BASIC NATIONAL FEE (37 G.F.R. 1.492(a)(1)-(5)): Neither international periminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) and to USPTO and International Search Report not prepared by the EPO of IPO | | | | | | DOCKET NUMBER 172A 3244 PCT | | | | | |
| BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): Neither international perliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO of IPO | | | | | | | | | | | |
| international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report or prepared by the EPO of JPO | CALCULATIONS FIGURE ONLY | | | | | | | | | | |
| International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international Search Report prepared by the EPO or JPO | Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and | | | | | | | | | | |
| USPTO but International Search Report prepared by the EPO or JPO | International Search Report not prepared by the EPO of JPO\$1040 | | | | | | | | | | |
| USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims did not satisfy provisions of PCT Article 33(1)-(4) | USPTO but Internationa | | | | | | | | | | |
| but all claims did not staisfy provisions of PCT Article 33(1)-(4) | USPTO but internationa | | والأراد | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890 Surcharge of \$130 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(p)). CLAIMS NUMBER NUMBER ALLOWED EXTRA RATE Total claims 45 20 25 \$ 18 \$ 450 Independent claims 45 3 42 \$ 84 \$ 33,528 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$ \$280 \$ 5 0 TOTAL OF ABOVE CALCULATIONS = \$ \$4,868 Reduction of 1/2 for filing by small entity, if applicable. \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ | International preliminary but all claims did not sat | | | | | | | | | | |
| Surcharge of \$130 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(e)). CLAIMS NUMBER FILED NUMBER FILED ALLOWED STRA NUMBER EXTRA RATE Total claims 45 20 25 \$18 \$450 Independent claims 45 3 42 \$84 \$3,528 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$280 \$0 TOTAL OF ABOVE CALCULATIONS = \$4,868 Reduction of 1/2 for filing by small entity, if applicable. \$50 SUBTOTAL = \$4,868 Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 C.F.R. 1.492(f)). TOTAL NATIONAL FEE = \$4,868 Fee for recording the enclosed assignment (37 C.F.R. 1.492(f)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property + \$40 TOTAL FEES ENCLOSED = \$4,908 \$30 Ant. Refunded \$ Ant. charged a. Checks in the amounts of \$40 and \$4,868 to cover the above fees are enclosed. b. Please charge my Deposit Account No. 11-1445 in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (10)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Sunte 3350 Los Angeles, CA 90067-3024 Dated: March 13, 2002 | International preliminary and all claims satisfied p | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS S 0 CLAIMS NUMBER RUMBER RATE R | A CONTRACT OF THE PROPERTY OF | | | | | | | | | | |
| Total claims 45 20 25 \$ 18 \$ 450 Independent claims 45 3 42 \$ 84 \$ 33,528 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$ 5280 \$ 0 TOTAL OF ABOVE CALCULATIONS = \$ 54,868 Reduction of 1/2 for filing by small entity, if applicable. \$ 50 SUBTOTAL = \$ 54,868 Processing fee of \$130 for furnishing the English translation later than | Surcharge of \$130 for furnimenths from the earliest cla | \$ 0 | | | | | | | | | |
| Independent claims 45 3 42 5 84 \$3,528 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$4,868 Reduction of 1/2 for filing by small entity, if applicable. SUBTOTAL = \$4,868 Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 C.F.R. 1.492(f)). + \$5 0 TOTAL NATIONAL FEE = \$4,868 Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property + \$40 TOTAL FEES ENCLOSED = \$4,908 \$ a. Checks in the amounts of \$40 and \$4,868 to cover the above fees are enclosed. b. Please charge my Deposit Account No. 11-1445 in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Suite 3850 Los Angeles, CA 90067-3024 Dated: March 13, 2002 H. Henry Koda | CLAIMS | | | | RATE | | 531531531 | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$280 | Total claims | 45 | 20 | 25 | \$ 18 | \$ 450 | 100 | | | | |
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| 2029 Century Park East, Suite 3850 Los Angeles, CA 90067-3024 Dated: March 13, 2002 H. Henry Koda | in the second | | | | | | | | | | |
| Dated: March 13, 2002 H. Henry Koda | 2029 Century Park East, Suite 3850 Los Angeles, CA 90067-3024 SIGNATURE | | | | | | | | | | |
| | Dated: March 13, 2002 | | | | | | | | | | |

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]